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March 22, 1999

Box Missing Parts
ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Re: Applicants: La Vaughn F. Watts, Jr. and James E. Dailey
Assignee: Dell USA, L.P.
Title: Portable Computer System With Hierarchical And Token-Based Security Policies
Serial No.: 09/237,016 Filed: January 25, 1999
Examiner: Not Assigned Group Art Unit: 2766
Docket No.: M-6084 US

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

- (1) Return Postcard;
- (2) This transmittal sheet - in duplicate (2 pages);
- (3) Copy of Notice To File Missing Parts Of Application - Filing Date Granted (1 page);
- (4) Response To Notice To File Missing Parts Of Application - Filing Date Granted - in duplicate (2 pages); and
- (5) Executed Declaration ((a) La Vaughn F. Watts, Jr.; (b) James E. Dailey) (3 pages).

- ☐ No additional fee is required.
☒ The fee has been calculated as shown below:

- ☒ Fee Response To Notice Of Missing Parts \$ 130.00
- ☒ Conditional Petition for Extension of Time: If an extension of time is required for timely filing of the enclosed document(s) after all papers filed with this transmittal have been considered, an extension of time is hereby requested.
- ☒ Please charge our Deposit Account No. 19-2386 in the amount of \$ 130.00
- ☒ Also, charge any additional fees required and credit any overpayment to our Deposit Account No. 19-2386.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Box Missing Parts, ASSISTANT COMMISSIONER FOR PATENTS, Washington, D.C. 20231,

on March 23, 1999

Joseph T. Van Leeuwen 3/23/99
Attorney for Applicant Date of Signature

Respectfully submitted,

Joseph T. Van Leeuwen
Joseph T. Van Leeuwen
Attorney for Applicants
Reg. No. 44,383
(512) 794-3600
(512) 794-3601 (fax)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: La Vaughn F. Watts Jr. and James E. Dailey
Assignee: Dell USA, L.P.
Title: PORTABLE COMPUTER SYSTEM WITH HIERARCHICAL AND
TOKEN-BASED SECURITY POLICIES
Serial No.: 09/237,016 Filed: January 25, 1999
Examiner: Not Assigned Group Art Unit: 2766
Docket No.: M-6084 US Client Ref. No.: DC-01605

San Jose, California
March 23, 1999

BOX MISSING PARTS
ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF
APPLICATION - FILING DATE GRANTED**

Dear Sir:

In response to the "Notice to File Missing Parts of Application - Filing Date
Granted" mailed by the United States Patent and Trademark Office on February 10, 1999,
the following documents are enclosed to complete the filing of the above-identified patent
application:

1. A declaration signed by the inventors in compliance with 37 CFR 1.63;
2. Copy of Notice to File Missing Parts of Application - Filing Date Granted.

On the accompanying Transmittal Letter, authorization has been made for the
United States Patent and Trademark Office to charge the following fees to Deposit
Account No. 19-2386:

1. Surcharge for filing declaration on a date later than the filing date \$ 130.00
of the application.

It is hereby respectfully submitted that the enclosed documents complete the filing
of the above patent application and justify the filing date of January 25, 1999. Please

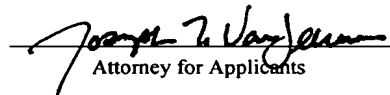
LAW OFFICES OF
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telephone the undersigned at (512) 794-3600, if there are any questions. This form is being submitted in duplicate.


I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Box Missing Parts, ASSISTANT COMMISSIONER FOR PATENTS, Washington, D.C. 20231,

on March 23, 1999.


Attorney for Applicants

3/23/99
Date of Signature

Respectfully submitted,


Joseph T. Van Leeuwen
Attorney for Applicants
Reg. No. 44,383
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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NO.	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/23/97, 016 01/25/99 WATTS

L M-6084US

0212/0210

SEJVEREN MORRILL MACPHERSON FRANKLIN
& FRIEL
25 METRO DRIVE
SUITE 700
SAN JOSE CA 95110-1349

NOT ASSIGNED

2766

DATE MAILED:

02/10/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
☐ small entity (statement filed) ☐ non-small entity is \$ 130.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required.

\$ _____ for _____ independent claims over 3.

\$ _____ for _____ dependent claims over 20.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unexecuted.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/01/1999 TL0011 00000114 192386 09237016

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